Accepted Manuscript

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PII:	S0308-8146(13)00886-8
DOI:	http://dx.doi.org/10.1016/j.foodchem.2013.06.109
Reference:	FOCH 14317
To appear in:	Food Chemistry
Received Date:	29 January 2013
Revised Date:	24 June 2013
Accepted Date:	25 June 2013



Please cite this article as: Du, H., Wu, J., Li, H., Zhong, P-X., Xu, Y-J., Li, C-H., Ji, K-X., Wang, L-S., Polyphenols and Triterpenes from *Chaenomeles* Fruits: Chemical Analysis and Antioxidant Activities Assessment, *Food Chemistry* (2013), doi: http://dx.doi.org/10.1016/j.foodchem.2013.06.109

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2 Antioxidant Activities Assessment

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21 Abstract

22 Mugua, fruit of the genus Chaenomeles, is a valuable source of health food and 23 Chinese medicine. To elucidate the bioactive compounds of five wild *Chaenomeles* 24 species, extracts from fresh fruits were investigated by HPLC-DAD/ESI-MS/MS. 25 Among the 24 polyphenol compounds obtained, 20 were flavan-3-ols (including 26 catechin, epicatechin and procyanidin oligomers). The mean polymerization degree 27 (mDP) of procyanidins was examined by two acid-catelyzed cleavage reactions; the 28 mDP value was the highest in C. sinensis and the lowest in C. japonica. Total 29 polyphenol content (TPC) reached 46.92 and 46.28 mg/g gallic acid equivalents (GAE) 30 in C. speciosa and C. thibetica, respectively, although their main bioactive 31 compounds were different (being epicatechin and procyanidin B2 in the former, and 32 catechin and procyanidin B1 in the latter). These two species also exhibited equally 33 strong free radical scavenging activities. Our results further showed that the 34 antioxidant ability of Chaenomeles fruits was significantly correlated to their total 35 polyphenol contents. Two triterpenes (oleanolic acid and ursolic acid) were the 36 highest quantity in C. cathavensis and C. thibetica, respectively.

37 Key words

38 Chaenomeles, Mugua, polyphenol, procyanidin, antioxidant activity, triterpenes

39 1 Introduction

Fruits of the genus *Chaenomeles* (Rosaceae), commonly known as *Mugua* in China, have multiple uses. They are used in food industry (for liquors and candies) (Hamauzu, Yasui, Inno, Kume & Omanyuda, 2005) and in Chinese medicine. *Mugua* has been used for thousands of years in the treatment of rheumatoid arthritis, hepatitis, asthma and common cold (Yang, Fen, Lei, Xiao & Sun, 2009; Zhang, Cheng, Liu,

Wang, Wang & Du, 2010). There are five wild *Chaenomeles* species in China (*Flora*of China, 1974), which are *C. speciosa*, *C. thibetica*, *C. cathayensis*, *C. sinensis*, and *C. japonica* (Fig. 1). In the *Pharmacopoeia of the People's Republic of China* (*PPRC*,
2010), *Fructus Chaenomelis speciosa* is designated as the medicinal herb source for *Mugua*, but fruits from other species were frequently used as substitutes. Further
information is needed to validate/invalidate this practice.

51 The chemical compositions information of these materials is limited, especially 52 for C. speciosa, C. thibetica, and C. cathayensis. Based on previous studies about C. 53 sinensis (Lee, Son & Han, 2002) and C. japonica (Hamauzu et al., 2005; Strek et al., 54 2007), it is proposed that polyphenols and two triterpenes (oleanolic acid and ursolic 55 acid) are the bioactive compounds. Polyphenols, such as flavonoids (flavanols, 56 flavones, flavonols, anthocyanins, and proanthocyanidins, etc.) and phenolic acids 57 have received enormous attention in the recent years. These compounds protect against cardiovascular diseases and exhibit anti-tumoral, antimicrobial, anti-adhesive, 58 59 and anti-inflammatory effects (Kylli et al., 2011; Rasmussen, Frederiksen, Krogholm 60 & Poulsen, 2005). Proanthocyanidins (PAs) are condensed flavan-3-ols, also known 61 as condensed tannins, including oligomers and polymers of flavan-3ols. PAs are 62 widespread natural polyphenols. In fact, PAs were the main polyphenol compounds 63 found in grape (skin and seed) (Spranger, Sun, Mateus, de Freitas & Ricardo-Da-Silva, 64 2008), hawthorn (Liu, Kallio, Lu, Zhou & Yang, 2011), cranberry (Tarascou et al., 65 2011), and lingonberry (Kylli et al., 2011). PAs present in these plants are largely 66 responsible for their antioxidant activities. Besides PAs, the two triterpenes, oleanolic 67 acid (OA) and ursolic acid (UA), also have anti-inflammation, anti-tumor promotion, 68 and anti-hyperlipidemia effects (Fang, Wang, Yu, Zhang & Zhao, 2010). In addition,

69	because OA and UA are two active ingredients designated in PPRC, their contents										
70	were used for quality control purposes.										
71	Our knowledge of polyphenols from Chaenomeles is fragmented, as summarized										
72	below.										
73	C. speciosa: Extracts displayed anti-inflammatory effects, and included										
74	chlorogenic acid, quercetin, OA and UA (Zhang et al., 2010). In addition, vitamin										
75	C and phenols were examined (Ros, Laencina, Hellin, Jordan, Vila & Rumpunen,										
76	2004).										
77	C. thibetica: Essential oils were analyzed by GC-MS, and OA and UA were										
78	examined (Yang et al., 2009).										
79	C. cathayensis: OA and UA were examined (Yang et al., 2009), as well as										
80	Vitamin C and phenols (Ros et al., 2004).										
81	C. sinensis: Quercetin, luteolin, and genistein derivates were identified, and five										
82	genistein derivates were active components (Lee, Son & Han, 2002). Catechin,										
83	epicatechin, procyanidin B1 & B2 were identified (Hamauzu et al., 2005). OA										
84	and UA were examined (Yang et al., 2009).										
85	C. japonica: Chemical and functional analyses were conducted for PAs, OA, UA,										
86	pectins, polysaccharides, vitamin C and phenols. For PAs, TLC and HPLC										
87	profiling were performed for their monomers and dimers (Ros et al., 2004; Strek										
88	et al., 2007).										
89	In the present study, we identified and quantified a number of polyphenols from										
90	five Chaenomeles species using HPLC-DAD-MS/MS. We compared their total										
91	polyphenol (TPC) and total flavan-3ol content (TFC) as well as their antioxidant										
92	properties (using three assays). This work would establish a foundation for the										

- 93 investigation of bioactive compounds in *Chaenomeles* species and cultivars, and
 94 facilitate further development and utilization of *Mugua*.
- 95 2 Materials and methods
- 96 2.1 Chemicals

97 (-)-epicatechin, (+)-catechin and chlorogenic acid (CGA) were purchased from 98 the National Institute for the Control of Pharmaceutical and Biological Products 99 (Beijing, China). Procyanidin B1, procyanidin B2, gallic acid (GA), oleanolic acid 100 (OA) and ursolic acid (UA) were obtained from Shanghai Tauto Biotech (Shanghai, 101 China). 2,2'-azinobis-(3-ethylbenzothiazoline-6-sulfonic acid) diammonium salt 102 (ABTS), 6-hydroxy-2,5,7,8-tetramethylchroman-2-carboxylic acid (Trolox), 103 2,4,6-tripyridyl-S-triazine (TPTZ), 1,1-Diphenyl-2- picrylhydrazyl (DPPH), ascorbic 104 acid, butylated hydroxytoluene (BHT), and Folin-Ciocalteu's phenol reagent were 105 bought from Sigma-Aldrich (St. Louis, MO). HPLC grade methanol and acetonitrile 106 were provided by Promptar (ElK Grove, CA). All other regents used were of 107 analytical grade.

108 2.2 Sample preparation

109 All five Chaenomeles species were grown at Beijing Botanical Garden, Beijing, 110 China. These plants can be identified according to their features of leaves, thorns and 111 fruits (Fig. 1). Fruit mature period of C. japonica was from August to October, which 112 of the other four species was from September to October. The mature fruit color of C. 113 speciosa is red-brown, and that of the other four species is green-yellow. We collected 114 ripe fruits in mid-October, 2010. Three trees of each species were used for fruit 115 collection. Five fruits were collected randomly from each tree and kept at -40116 before use. Each freezing fruit was cut vertically with radial symmetry to 4 or 6 slices

117 (depending on the fruit size of each species) with the skin attached. Seeds and 118 endocarp were removed. One slice of each fruit was collected, five slices in total were 119 combined and triturated using a blender, about 10 g of which was extracted as a 120 sample. A sample was extracted with 30 mL 80% (v/v) acetone in a flask (Hamauzu et 121 al., 2005). After adding the solvent, the flasks were shaken 30 s followed by 122 sonication at 20 for 20 min. Then the mixtures were filtered through qualitative 123 filter paper. The filtrate was collected, and the sediment was further extracted with 15 124 mL 80% acetone for 2 h. The two filtrates were combined and the solvent was 125 removed by evaporating at 30 under reduced pressure. The concentrate was defatted 126 by washing three times with petroleum ether, then was fixed to 20 mL aqueous 127 solution and then purified by Supelclean ENVI-18 cartridge (Supelco Park, Bellefonte, 128 PA), which had been previously activated with methanol and water. The cartridge 129 loaded with 2 mL extract was washed with $5 \times$ column volume of water, and then 130 eluted with methanol (Li et al., 2009). Finally, all the extracts were brought to 5 mL 131 methanol, and filtered through a 0.22 µm membrane (Shanghai ANPEL Scientific 132 Instrument, Shanghai, China). The extraction was performed one time for the 133 combined slices of each tree. Three extractions for three trees of each species were 134 conducted independently.

135 2.3 Identification of polyphenols by HPLC-DAD/ESI-MS/MS

The samples were analyzed by a Dionex HPLC system (Sunnyvale, CA), equipped with a P680 HPLC pump, an UltiMate 3000 autosampler, a TCC-100 thermostated column compartment and a Dionex PDA100 photodiode array detector. The analytical column was C₁₈ column of ODS 80Ts QA (150 mm × 4.6 mm, 5 μ m i.d., Tosoh, Tokyo) protected with a C₁₈ guard cartridge (Shanghai ANPEL Scientific

141 Instrument, Shanghai). The following solvent and gradient were used: A, 0.1% 142 aqueous formic acid; B, 0.1% formic acid in acetonitrile; constant gradient from 5 to 143 23% B within 55 min and back to 5% B in 5 min; the flow rate was 0.8 mL/min; 144 Column temperature was maintained at 35 ; 10 µL of analyte was injected. 145 Chromatograms were obtained at 280 nm for flavanol derivates and 350 nm for other 146 flavonoids, and photodiode array spectra were recorded from 200 to 800 nm. 147 HPLC-ESI-MS/MS analysis was carried out in an Agilent-1100 HPLC system 148 equipped with a UV detector coupled to an LC-MSD Trap VL ion-trap mass 149 spectrometer via an ESI source (Agilent Technologies, Palo Alto, CA). The HPLC 150 separation condition was as described above. The MS conditions were as follows: 151 negative-ion (NI) mode; capillary voltage of 3.5 kV; a nebulization pressure of 241.3

152 kPa; and a gas (N₂) temperature of 350 with flow rate of 6.0 L/min. Capillary offset 153 voltage was 77.2 V. MS and MS^2 spectra were recorded over the range from *m/z* 50 154 to 1000.

155 2.4 Quantitative analysis of polyphenol compounds

156 Catechin and CGA were used as standards to semi-quantify flavanols and CGA, 157 respectively, by linear regression. The flavanols were expressed as milligrams of 158 catechin equivalents (CE) per g fresh weight (FW), using the calibration curve obtained: polyphenol [mAU] = 178.60 [CE (mg/mL)] – 0.47 (r^2 = 0.999). CGA was 159 160 measured under standard control (expressed as milligrams of per g FW), with the 161 calibration curve: $[mAU] = 420.90 [CGA (mg/mL)] - 2.81 (r^2 = 0.999)$. The flavonols were expressed as milligrams of rutin: $[mAU] = 413.70 [rutin (mg/mL)] - 2.33 (r^2 =$ 162 163 0.999). All samples were analyzed in triplicate.

164 2.5 Estimation of the mean degrees of polymerization (mDPs) of proanthocyanidins

165 The mDPs of proanthocyanidins were analyzed by HPLC-DAD after acid 166 catalyzed cleavage in the presence of either benzyl mercaptan or phloroglucinol. 167 Thiolysis of the proanthocyanidins was carried out according to Gu et al. (2002). The 168 analysis was performed by Dionex HPLC system as above. The solvent system was 169 the same and the constant gradient was from 15% to 80% B in 45 min, then back to 170 15% in 5 min. mDP = [(total area of catechin and epicatechin benzyl mercaptan 171 adducts) / (total area of catechin and epicatechin)] + 1. The procedure with 172 phloroglucinol was performed according to Kennedy & Jones (2001). Catechin 173 phloroglucinol adduct was prepared as in the literature (Kennedy et al., 2001); grape 174 seed was processed with the same procedure to assist the identification of products. 175 mDP = [(total area of catechin and epicatechin phloroglucinol adducts) / (total area of 176 catechin and epicatechin)] + 1.

177 2.6 Determination of OA and UA

178 OA and UA were analyzed by the same HPLC-DAD system as above. The liquid 179 chromatography was equipped with a 5 μ m Kromasil C₁₈ column (250 × 4.6 mm i.d., 180 AKZO NOBEL, Anpu, Shanghai), which was protected with a C₁₈ guard cartridge 181 (Shanghai ANPEL Scientific Instrument, Shanghai). The mobile phase consisted of 182 methanol / 0.05% phosphoric acid (91:9, v/v), with isocratic elution for 30 min. The 183 flow rate was 0.6 mL/min. Column temperature was kept at 25, and the absorption 184 was recorded at 215 nm (Fang et al., 2010). OA and UA were identified against 185 standards (0.01 - 1.00 mg/mL), and quantified by the calibration curves: [mAU] =115.30 $[mg/mL] - 0.66 (r^2 = 0.999)$ and $[mAU] = 89.74 [mg/mL] - 0.31 (r^2 = 0.999)$, 186 187 respectively.

188 2.7 Folin–Ciocalteu test and vanillin assay

The total polyphenol content (TPC) in the extracts was determined according to the Folin–Ciocalteu method, using GA as a standard (Li et al., 2009). The TPC was calculated with: [TPC (mg/mL)] = $0.73 \text{Abs}_{750 \text{ nm}} - 0.03$ ($r^2 = 0.999$), and the result was expressed as mg of GA equivalent (mg GAE) per g FW. The extraction and sample preparation were performed with three replications. The absorbance was measured with a UNICO UV-4802 spectrophotometer (UNICO Instrument Co. Ltd., Shanghai).

196 The vanillin assay was used to measure total flavan-3-ol content (TFC) 197 (monomers and PAs), carried out with vanillin and sulfuric acid (Oki et al., 2002). 198 Specifically, 500 μ L of 1.0% (w/v) vanillin and 500 μ L of 9.0 M H₂SO₄ (both in 199 methanol) was mixed with 200 µL of sample solution (in methanol). The mixture was 200 kept at 30 for 30 min, and the absorbance was read at 500 nm. As a blank control, 201 vanillin was omitted. Catechin was used as a reference. TFC was calculated using: $[TFC (mg/mL)] = 2.56Abs_{500 nm} - 0.07 (r^2 = 0.998)$, and the result was expressed as 202 203 mg of catechin equivalent (mg CE) per g FW.

204 2.8 Evaluation of antioxidant capacity

The ABTS assay was performed according to the references (Muller, Frohlich & Bohm, 2011), on the basis of scavenging the synthetic radical ABTS⁺⁺, which was produced by reacting 10 mL of 7 mM ABTS⁺⁺ solution with 178 μ L of 140 mM potassium persulfate (K₂S₂O₈) in the dark at room temperature for 13 h. The ABTS⁺⁺ solution was diluted with phosphate buffered saline (PBS) to an absorbance at 0.70 ± 0.05 at 734 nm. An aliguot of 0.1 mL of diluted sample, standard or blank (methanol)

^{205 2.8.1} ABTS assay

was added to 3.9 mL of diluted ABTS⁺⁺ to react in the dark at room temperature for 5 min, and absorbance at 734 nm was recorded. Trolox was used as a standard with its final concentrations ranging from 0 to 16.5 μ M. Results were expressed as Trolox equivalent antioxidant capacity (TEAC, μ mol of Trolox/g of FW). All samples were analyzed in triplicate.

217 *2.8.2 FRAP assay*

The ferric reducing ability of plasma (FRAP) assay was carried out according to the method of Benzie & Strain (1996). Briefly, 900 μ L of freshly prepared FRAP reagent was mixed with 90 μ L of distilled water and 30 μ L of test sample (or methanol, for the blank), and then warmed at 37 for 5 min. The absorbance was taken at 595 nm. Trolox was used as a standard with its final concentrations ranging from 0 to 16.5 μ M. Results were expressed as TEAC (μ mol of Trolox/g of FW). All samples were performed with three replications.

225 2.8.3 DPPH assay

226 The antioxidant capacities were evaluated using DPPH as a free radical, ascorbic 227 acid, BHT and Trolox as references (Brand-Williams, Cuvelier & Berset, 1995). Antioxidant solution in methanol (0.1 mL) was added to 3.9 mL of 6×10^{-5} M DPPH[•] 228 229 in methanol, the absorbances were determined at 515 nm until the reaction reached a 230 plateau. The concentration of the remaining DPPH' (mM) was calculated by the 231 calibration curve: $[Abs_{515 \text{ nm}}] = 11.268 [DPPH' (mM)] - 0.002 (r^2 = 0.999)$. The 232 percentage of the remaining DPPH[•][%DPPH[•]_{REM}] = [DPPH[•] (mM)]_t / [DPPH[•] (mM)]₀, 233 where t and $_{0}$ are the time needed to reach the reaction steady state and the initial, 234 respectively. For each antioxidant, different concentrations were tested, to make 235 %DPPH'_{REM} between 20% and 80%. The ratio of [TPC (μ M GAE)] / [DPPH' (μ M)]

236 was plotted against %DPPH $_{REM}$ to calculate the efficient concentration (EC₅₀), the

- amount of sample necessary to decrease the initial DPPH concentration by 50%. The
- antiradical power (ARP) is calculated as $ARP = 1/EC_{50}$ (Brand-Williams et al., 1995).
- All samples were examined with three replications.

240 2.9 Statistics

Statistical analysis was performed with one-way ANOVA. Bivariate correlate analysis was performed by SPSS 11.5 (SPSS Inc., Chicago, IL). Values of p < 0.05and p < 0.01 were considered statistically significant and extremely significant, respectively.

- 245 **3 Results and discussion**
- 246 3.1 Composition and content of polyphenol compound

A total of 24 polyphenol compounds were found in the fruits of the five *Chaenomeles* species by using HPLC-DAD/ESI-MS/MS (Fig. 2; Table 1). In the chromatogram profiles obtained at 280 nm, the labeled Peaks 1 to 24 followed an elution order. Among these compounds, 20 were flavan-3-ols (catechin, epicatechin, and procyanidin), one was CGA, a quinic ester of a phenolic acid (caffeic acid), one was a CGA isomer, and two were quercetin glucosides.

There are two types of PAs: those linked by bonds from C4 on the upper unit to C8 and/or C6 on the lower unit are of the B-type, and those linked through double linkages with an additional bond from C2 of the upper unit to the oxygen at C7 of the lower unit are of the A-type (Fig. S1). The *m/z* values of PA ions in negative ESI-MS mode were: $[M-H]^-$ 289 for monomer of catechin or epicatechin, $[M-H]^-$ 577 for B-type procyanidin dimer, $[M-H]^-$ 865 for procyanidin trimer, $[M-H]^{2-}$ 720 for procyanidin pentamer, and $[M-H]^{2-}$ 864 for procyanidin hexamer (Foo, Newman,

260 Waghorn, McNabb & Ulyatt, 1996; Sivakumaran et al., 2006; Tarascou et al., 2011). 261 The proanthocyanidins in *Mugua* were composed of catechin and epicatechin, i.e. 262 procyanidins, which agreed with the earlier reports for C. sinensis and C. japonica 263 (Hamauzu et al., 2005; Strek et al., 2007). The main peaks 6 and 12 were identified as 264 catechin and epicatechin by comparing with the standards, respectively. Peaks 3, 4 265 and 10 have the same mother molecular ion $577[M-H]^{-}$, and the types of ion fragments from 577[M–H]⁻ by MS² are identical (Table S5), although the abundance 266 267 of each fragment varies as presented below, suggesting that they are B-type 268 procyanidin dimers. The comparison analysis with standards confirmed that Peaks 4 269 were **B**1 [epicatechin- $(4\beta \rightarrow 8)$ -catechin] and 10 procyanidin and B2 270 [epicatechin-($4\beta \rightarrow 8$)-epicatechin], respectively. The fragment ion m/z 451 is specific 271 to the interflavan linkage linking the C-4 position with a catechin unit (Sun & Miller, 272 2003). m/z 451 is most abundant at Peak 3, suggesting that it is rich in catechin. The 273 elution order of procyanidin monomers and dimers was: procyanidin B3 < 274 procyanidin B1 < catechin < procyanidin B2 < epicatechin, obtained using RP-HPLC 275 (Pekic, Kovac, Alonso & Revilla, 1998). After considering all the references, Peak 3 276 was identified as procyanidin B3 [catechin- $(4\alpha \rightarrow 8)$ -catechin]. It is worth noting that 277 detection of procyanidin B3 in Mugua is the first report. Additionally, seven 278 procyanidin trimers, five pentamers and one hexamer were separated in this work. 279 Peaks 2 and 8 both had ions [M+Na–H]⁻ 375 and [M–H]⁻ 353; peak 8 was identified 280 as CGA (5-caffeoylquinic acid) by coelution with the standard, and peak 2 was 281 deduced as its isomer 3-caffeoylquinic acid according to elution order in the literature 282 (Hamauzu et al., 2005).

283

The content of each polyphenol compound was calculated using HPLC-DAD

284 analysis. The total flavan-3-ol content (TFC) including catechin, epicatechin and 285 procyanidins oligomers, accounts for 94 to 99% of the total polyphenol content, 286 indicating that PAs were the main polyphenol compounds in Mugua. Overall, there 287 were five representative compounds (chlorogenic acid, catechin, procyanidin B1, 288 epicatechin, and procyanidin B2), their content and distribution being different among 289 the five species (Fig. 3). CGA was abundant in C. speciosa $(1.82 \pm 0.15 \text{ mg/g FW})$, C. 290 thibetica $(1.17 \pm 0.06 \text{ mg/g FW})$ and C. cathayensis $(1.19 \pm 0.11 \text{ mg/g FW})$, and low 291 in C. sinensis (0.09 \pm 0.00 mg/g FW) and C. japonica (0.10 \pm 0.00 mg/g FW). 292 Catechin and procyanidin B1 were abundant in C. thibetica $(1.56 \pm 0.13 \text{ and } 2.22 \pm 0.13)$ 293 0.17 mg/g FW, respectively) and C. cathayensis $(1.13 \pm 0.03 \text{ and } 1.45 \pm 0.02 \text{ mg/g})$ 294 FW, respectively), and moderate in C. speciosa (0.54 ± 0.06 and 0.83 ± 0.04 mg/g 295 FW, respectively). On the contrary, epicatechin and procyanidin B2 predominated in 296 C. speciosa (2.35 \pm 0.26 and 2.96 \pm 0.26 mg/g FW, respectively), C. sinensis (0.54 \pm 297 0.03 and 0.40 \pm 0.02 mg/g FW, respectively) and C. japonica (1.02 \pm 0.09 and 0.98 \pm 298 0.12 mg/g FW, respectively). Flavonol quercetin glucosides were found in this work, 299 in agreement with earlier studies (Kylli et al., 2002; Zhang et al., 2010).

300 The present work provided polyphenol profiles of fruits from five Chaenomeles 301 species using RP-HPLC. Twenty flavan-3-ols were identified, including monomers, 302 procyanidin dimers, trimers and pentamers. In particularly, CGA, catechin, 303 epicatechin, procyanidin B1 and procyanidin B2 were confirmed with standards, and 304 were well separated and quantified. In this study, the contents of CGA (0.09 ± 0.00 305 mg/g FW), epicatechin (0.54 \pm 0.03 mg/g FW), procyanidin B2 (0.40 \pm 0.02 mg/g 306 FW), catechin (0.05 \pm 0.00 mg/g FW) and procyanidin B1 (0.13 \pm 0.01 mg/g FW) in 307 C. sinensis were higher than those found in previous a study, which were CGA (0.05

308 mg/g), epicatechin (0.12 mg/g), procyanidin B2 (0.17 mg/g), catechin (0.03 mg/g) and 309 procyanidin B1 (0.10 mg/g) in fresh fruit (Hamauzu, et al., 2005). Both studies 310 showed a similar chemical makeup, with more epicatechin and procyanidin B2, and 311 less catechin and procyanidin B1. To the best of our knowledge, reports on individual 312 compound contents for the other *Mugua* species are limited. This method allowed for 313 the identification and characterization of polyphenols in the five species, showing the 314 diversity in chemical constituents.

315 Judged from results with the Folin-Ciocalteu assay for total polyphenol content 316 (TPC), the five species were very different, with the lowest in C. japonica (19.35 \pm 317 0.59 GAE mg/g FW) and the highest in C. speciosa (46.92 \pm 2.76 GAE mg/g FW) 318 and. C. thibetica (46.28 \pm 0.59 GAE mg/g FW) (Fig. 4A), but all had higher values than for other sources (Lycium ruthenicum, 6.98 - 13.11 GAE mg/g FW (Zheng et al., 319 320 2011); black raspberries, 4.95 – 9.8 mg/g FW (Wada & Ou, 2002)). TFC of Mugua 321 extracts by using both vanillin assay and HPLC-DAD followed the same order as 322 TPC.

323 The highest contents, estimated by the vanillin assay (Fig. 4), were found in C. 324 speciosa and C. thibetica (20.97 \pm 0.41 and 20.09 \pm 1.08 CE mg/g FW, respectively), 325 and the lowest in C. japonica $(3.64 \pm 0.71 \text{ mg/g})$. The similar content of 13.50 ± 0.46 326 mg/g in C. sinensis was obtained with the former report of 13.90 ± 1.97 mg/g FW in 327 C. sinensis (Hamauzu et al., 2005). Here are a few examples of reported TFC values: 328 grape seed, 18.57 ± 1.15 mg/g (the highest among six seed sources) (Wang, Wang, 329 Geng & Li, 2008); cocoa bean $163.5 \pm 17.87 \text{ mg/g}$ (fresh) and $49.53 \pm 5.14 \text{ mg/g}$ 330 (powder) (Ortega et al., 2008). Mugua second only to coca in TFC. However, since 331 Mugua had large fruit size, high yield, and a wide distribution, it would make an

332 excellent source of procyanidin oligomers.

333 It was noticed that the TFC from vanillin assay was higher than that from HPLC 334 for all samples but C. japonica (Fig. 4). This difference is likely due to the following 335 reasons. TFC obtained from a vanillin assay is a unitary value; meanwhile, TFC using 336 an HPLC analysis is a summation of each compound separated by a C_{18} column. The 337 principle and calculation method between them were different. The TFC value of 338 fruits from C. sinensis $(13.50 \pm 0.46 \text{ mg/g FW})$ using a vanillin assay was conformed 339 to the former report of 13.9 ± 1.97 mg/g FW (Hamauzu et al. 2005). No doubt that an 340 HPLC assay is suitable for absolute quantification of individual compound which are 341 well separated. However, PA oligomers and polymers are difficult to separate 342 completely in an HPLC analysis, leading to the ascending baseline of the HPLC 343 profile (Fig. 2), which affects the accuracy of quantification for individual peaks. That 344 is, the quantification of individual peaks tends to be underestimated. For this study, 345 the HPLC analysis was better suitable for identification of PAs than quantification of 346 them. A vanillin assay was more suitable for the Mugua TFC quantification. 347 Moreover, the results from the two methods were parallel among the five Mugua 348 species, suggesting that the distribution pattern of PAs among the five species is 349 reliable.

350 3.2 The mDPs of PAs in Mugua

Based on the mass of molecular ions (Table 1), all procyanidins from *Mugua* extracts were recognized as the B-type. In the HPLC profiles generated from the acid-catalysis cleavage reactions (Fig. 5), Peaks 1 and 2 were catechin and epicatechin, respectively, based on comparisons with standards. Peak 3 and 4 each had fragment ions of $[M-H]^-$ 287 and $[M-H]^-$ 411 obtained from MS analysis. Compared to

cleavage products from grape seed (unpublished data) and published results (Kennedy et al., 2001), Peaks 3 and 4 were designated as catechin and epicatechin benzyl mercaptan adducts, respectively. Peak 3' and 4' each had fragment ions of [M–H]⁻ 287 and [M–H]⁻ 413. They were identified as catechin and epicatechin phloroglucinol adducts, respectively, by comparison with authentic catechin phloroglucinol prepared as described by Kennedy et al. (2001).

362 The mDP values of PAs, were high for C. sinensis and low for C. japonica, with 363 those for the other three species in between (Table 2). This result was in accordance 364 with TFC data produced from vanillin assay and HPLC. The mDP data for 365 procyanidins from C. thibetica, C. cathayensis and C. speciosa represented the first 366 report. Correlation between the two sets of mDP values was extremely significant, confirming a high confidence of the results. We noticed that our mDP results for C. 367 368 sinensis and C. japonica were lower than reported previously (25 (Hamauzu et al., 369 2005) and 5.3 (Strek et al., 2007), respectively). The low level of mDPs shown in the current work is apparently due to the higher content of monomers (catechin or 370 371 epicatechin) than in the published reports. The monomer / procyanidins ratios 372 decreased during fruit ripening in some species, contributing to mDP changes 373 (Kennedy, Matthews & Waterhouse, 2000).

The PA cleavage pattern consisted of one starter unit and n extension units (n \geq 1; Fig. 5A). The extension units of *Mugua* procyanidins were high in epicatechin (Peaks 4 and 4'), with a small amount of catechin (Peak 3 and 3') (Fig. 5B,C). Even in *C. thibetica* and *C. cathayensis* that were rich in catechin monomers, the amount of epicatechin was higher than that of catechin. We attempted to predict the starter unit by the catechin / epicatechin ratio before and after the cleavage reactions, but we only

found that the changes were not significant in all samples except *C. thibetica*. Estimation of starter unit cannot be accurate due to the inevitable asymmetric epimerization between catechin and epicatechin (Gu et al., 2002). The proportion of catechin as starter unit tends to be overestimated because of its lower epimerization rate than that of epicatechin. It is speculated that most starter units of *Mugua* procyanidins are comprised of both catechin and epicatechin.

386 3.3 Separation and quantification of OA and UA

387 To estimate the total content of OA and UA in Mugua, the RP-HPLC method was 388 developed and validated. Three independent calibration curves were generated to 389 determine the range of linearity, with six standard solutions of OA and UA at 390 concentrations of 0.01 to 1.00 mg/mL prepared for each case. In our study, the LOD 391 and LOQ were, respectively, 2.4 and 2.8 µg/mL for OA, and 8.2 and 9.6 µg/mL for 392 UA, suggesting that the RP-HPLC method was sensitive enough for a quantitative 393 measurement. In order to evaluate the precision of RP-HPLC, relative standard 394 deviations (RSDs) with retention time (RT) and peak area were calculated from five 395 injects of one low standard concentration. RSDs with RT and peak area were < 0.1%396 and < 3%, respectively (Table S1), indicating that the RP-HPLC method was sensitive 397 and precise for OA and UA.

The concentration of OA ranged from $14.7 \pm 3.2 \ \mu g/g$ to $338.7 \pm 78.2 \ \mu g/g$ FW, and that of UA from $47.0 \pm 25.6 \ \mu g/g$ to $272.7 \pm 19.7 \ \mu g/g$ FW (Fig. S2. and Table 3). The highest OA and UA contents were found in *C. cathayensis* and *C. thibetica*, respectively. *C. speciosa* was not significant different from the other species in total OA and UA contents. Fang et al. (2010) examined dry *Mugua* fruits using RP-HPLC-PAD, and showed that OA 0.05 to 0.3 mg/g, and UA 0.4 to 2.0 mg/g in

species. Our results are similar to these. Interestingly, the highest total OA and UA
content in *Mugua* cultivars reached 1.57 mg/g DW (Wang et al., 2008), confirming
that cultivars abundant in OA and UA could be developed from breeding. *C. thibetica*and *C. cathayensis* that had higher OA and UA content than other species make them
excellent choices for this purpose.

409 3.4 Antioxidant activity of Mugua

410 The antioxidant activity of Mugua extracts were investigated by ABTS, FRAP 411 and DPPH assays (Fig. 4B). The free radical scavenging abilities shown with ABTS 412 and FRAP were both expressed as TEAC, and an extremely significant correlation between the two assays was observed ($R^2 = 0.947$, p < 0.01), suggesting that the free 413 414 radical scavenging activity of Mugua extracts was stable and effective. The maximal 415 TEAC was achieved from C. speciosa, with 310.55 ± 6.83 and $96.84 \pm 10.40 \mu mol$ 416 Trolox/g FW with ABTS⁺⁺ and FRAP, respectively. The C. thibetica extract was less 417 effective, exhibiting TEAC at $253.88 \pm 9.08 \mu$ mol and $84.43 \pm 1.22 \text{ Trolox/g FW}$ with 418 ABTS⁺⁺ and FRAP, respectively. Both species possess stronger antioxidant activities 419 than other fruits, such as Lycium ruthenicum (ABTS⁺⁺, 26.84 µmol Trolox/g FW; 420 FRAP 32.44 µmol Trolox/g FW) (Zheng et al., 2011), guava (18.03-32.25 µmol 421 Trolox/g FW) (Thaipong, Boonprakob, Crosby, Cisneros-Zevallos & Byrne, 2006). 422 Among our samples, C. japonica had the lowest TEAC (118.15 \pm 4.10 and 19.10 \pm 423 0.76 µmol Trolox/g FW with ABTS and FRAP, respectively).

The DPPH' scavenging capacity was evaluated by ARP (1/EC₅₀). *C. sinensis* had the highest ARP, *C. speciosa* followed, and *C. japonica* had the lowest (Fig. 4B). Among the five extracts, ARP values of four samples, namely *C. speciosa* (5.63 \pm 0.17), *C. thibetica* (4.89 \pm 0.21), *C. cathayensis* (4.88 \pm 0.25) and *C. sinensis* (6.48 \pm

428 0.23), fell between those of the standard antioxidants ascorbic acid (4.68 \pm 0.02) and 429 BHT (8.94 \pm 0.02), but were higher than Trolox (3.79 \pm 0.07). *C. japonica* had an 430 ARP of 3.22 \pm 0.13, which is slightly lower than that of Trolox. The kinetic behavior 431 of *C. thibetica* extract at different dilutions was presented in Fig. S3, showing 432 calculation approach of EC₅₀.

433 3.5 Correlation coefficients of TPC, TFC, ABTS, FRAP, and DPPH assays

434 Pearson correlation coefficients (r) between the antioxidant activity assays and 435 the different parameters (TFC, TPC, CGA, OA, and UA) were obtained using SPSS 436 11.5 (Table S4). The correlations between TFC and TEAC (from ABTS and FRAP 437 assays) were dramatically high (r = 0.923 and r = 0.925), which confirmed that PAs 438 and monomers had strong antioxidant and radical scavenging activities as previously 439 reported (Rasmussen et al., 2005). TPC had lower correlation coefficients with ABTS 440 and FRAP assays (r = 0.836 and 0.794, respectively) than TFC. One reason for the 441 divergence maybe that vanillin assay is generally more specific than Folin–Ciocalteu 442 assay. Another reason was speculated that polyphenols except for flavan-3-ols 443 provided with less contribution for antioxidant activity. CGA also contributed to the 444 antioxidant capacity of Mugua (r = 0.712 and 0.562 with ABTS and FRAP, 445 respectively), which agreed with the published result in C. speciosa (Li et al., 2009b). 446 OA and UA have no significant contribution to the antioxidant activity in the present 447 study.

DPPH assay had a negative correlation with TFC (r = -0.604), and no significant correlation with other parameters. Negative correlations were reported in earlier studies (Zheng et al., 2011); an explanation has been lacking. The DPPH assay is technically simple, but it has some disadvantages; these include: the reaction kinetics

452 between DPPH' and antioxidants is not linear to DPPH' concentrations, the reaction 453 time varies (from less than five min to hours), the reaction can be influenced 454 dramatically by adventitious acids or bases in the solvent, and some reactions between 455 chemical molecules with DPPH' were reversible, such as eugenol (Brand-Williams et 456 al., 1995).

457 4 Conclusions

For the polyphenol compounds from *Chaenomeles* fruits, we established a HPLC method, allowing simultaneous separation and quantification of phenolic acids, catechin, epicatechin, and procyanidin oligomers. We also developed a sensitive and reliable analyzing method for OA and UA. The present methods are important for the study of bioactive compounds in multiple cultivars for classification and quality.

463 The chemical compositions and antioxidant activities of five Chaenomeles 464 species were investigated in detail. Five representative polyphenol compounds exist in 465 Chaenomeles fruits at different abundance. CGA, Catechin and procyanidin B1 were 466 abundant in C. thibetica and C. cathayensis; epicatechin and procyanidin B2 467 dominated in C. sinensis and C. japonica. C. speciosa was intermediate in the 468 distribution pattern of the five compounds. The mDP was high in C. sinensis, and low 469 in C. japonica. TPC and antioxidant activities were equally high in C. thibetica and C. 470 speciosa, although their main compounds were different. No significant difference 471 between C. speciosa and the other species was observed in total OA and UA content. 472 Thus, our results expanded Mugua sources for medicinal applications.

With the developed analytical methods and composition data presented here,
species rich in procyanidins can be selected for medicinal sources and
nutritional/health supplements. This work can also provide useful information about

476 polyphenol compounds for hybridization or molecular assisted breeding.

477 Acknowledgements

- 478 We thank Prof. Yong-Zhen Pang (IBCAS) and Dr. Feng-Shan Ma (Wilfrid
- 479 Laurier University) for their critical reviews on the manuscript. We thank two
- 480 anonymous reviewers for constructive comments on the manuscript. This study was
- 481 supported by the National Natural Science Foundation of China (Grant No.
- 482 31071824), and International Science & Technology Cooperation Program of China
- 483 (Grant No. 2011DFA30560).

484 Appendix A. Supplementary data

- 485 Fig. S1 Chemical structures of flavan-3-ol monomers, chlorogenic acid, OA and UA.
- 486 Fig. S2 HPLC chromatogram of OA and UA in *Mugua* at 210 nm.
- 487 Fig. S3 Disappearances of DPPH' as a function of μM TP/μM DPPH' in *C. thibetica*.
- 488 Table S1 Mean degree of polymerization (mDP) from acid-catalysis in the presence of
- 489 benzyl mercaptan and phloroglucinol.
- 490 Table S2 Precision evaluation of the RP-HPLC method for OA and UA.
- 491 Table S3 Oleanolic acid (OA) and ursolic acid (UA) contents in *Chaenomeles* fruits.
- 492 Table S4 Correlation coefficients of total polyphenols, total flavan-3-ols, and CGA,
- 493 with the three assays (ABTS, FRAP and DPPH).
- 494 Table S5 Daughter ions from mother ion of m/z 577⁻ by MS².

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620 Figure Captions

- 621 Fig. 1. The leaves and fruits of five *Chaenomeles* L. species.
- 622 **Fig. 2.** HPLC chromatogram of *C. thibetica* (A) and *C. japonica* (B) at 280 nm.
- 623 Peaks: 1, Procyanidin trimer; 3, Procyanidin dimer B3; 4, Procyanidin B1; 5,
- 624 Procyanidin trimer; 6, catechin; 7, Procyanidin trimer; 8, 5-caffeoylquinic acid (CGA);
- 625 9, Procyanidin trimer; 10, Procyanidin B2; 11, Procyanidin pentamer; 12, Epicatechin;
- 626 13, Procyanidin pentamer; 14, Procyanidin pentamer; 15, Procyanidin trimer; 16,
- 627 Procyanidin pentamer; 17, Procyanidin pentamer; 18, Procyanidin hexamer; 19,
- 628 Procyanidin trimer; 20, Procyanidin trimer; 21, Procyanidin oligomer; 22, Procyanidin
- 629 oligomer; 23, Quercetin-3-O-rutinoside; 24, Quercetin-3-O-hexose.
- **Fig. 3.** Contents of five representative bioactive compounds. The contents of each compound in five species were compared statistically. Bars with no common letter indicating significant differences (p < 0.05). Results are mean \pm SE (n = 3).
- 633 Fig. 4. A. Total polyphenol content (TPC) and total flavan-3-ol content (TFC). TPC 634 was measured using the Folin-Ciocalteu assay, and TFC was obtained by using the 635 vanillin and HPLC assays, expressed by gallic acid equivalents (GAE) and catechin 636 equivalents (CE) mg/g FW, respectively. B. Antioxidant capacities (TEAC with ABTS and FRAP assay) and antiradical power (ARP with DPPH assay) of each 637 638 *Mugua*. ARP was compared based on TPC (μ M GAE). Bars with no common letter 639 indicating significant differences (p < 0.01). Results are mean \pm SE (n = 3). Values in 640 each column indicated by the same letter are not significantly different (P < 0.01).
- Fig. 5. Procyanidin stucture and its hypothetical acid-catelyzed cleavage reactions
 with two nuleophilic reagents (A). HPLC profile of procyanidin cleavage products
 from *C. thibetica* extract with benzyl mercaptan (B) and phloroglucinol (C). Peaks: 1,

- 644 catechin; 2, epicatechin; 3 and 3', catechin adduct; 4 and 4', epicatechin adduct with
- 645 benzyl mercaptan and phloroglucinol.

Acctebric

 Table 1 Polyphenol compounds from Chaenomeles fruits.

Peak	Peak Hantification*		$UV\lambda_{max}$	<i>m/z</i> Value	ESI NI MS^2 ((-)	Species ^b	Deferences	
No.	Identification	(min)	(nm)	$[M-H]^-$	ESI-INI MS (m/z)	species	References	
1	Procyanidin trimer [*]	6.44	280.4	865(100)	575(8.25), 441(5.20)	1,2,3	Foo, 1996	
2	3-caffeoylquinic acid [*]	12.43	325.9	353(100)		4 ^c	Hamauzu, 2005	
3	Procyanidin B3	15.67	281.0	577(65.66)	451(44.34), 425(4.99), 289(100)	1,2,3,5	Ricardo da Silva, 1991	
4	Procyanidin B1	17.57	280.1	577(100)	451(6.90), 425(16.21), 289(6.51)	1,2,3,4,5	standard	
5	Procyanidin trimer [*]	18.34	286.0	865(10.98)	577(20.66), 487(50.84), 465(100), 289(15.54),	4 ^c	Foo, 1996	
6	Catechin	19.04	279.8	289(100)		1,2,3,4,5	standard	
7	Procyanidin trimer [*]	21.33	280.4	865(100)	577(9.44), 289(2.70)	1,2,3,4,5	Foo, 1996	
8	5-caffeoylquinic acid(CGA)	21.75	325.8	353(100)	. 67	1,2,3,4,5	standard	
9	Procyanidin trimer [*]	22.85	281.9	865 (37.45)	577(100),425(14.25), 289(4.71)	1,2,3,5	Foo, 1996	
10	Procyanidin B2	24.59	280.3	577(100)	425(14.61), 289(1.61)	1,2,3,4,5	standard	
11	Procyanidin pentamer*	25.47	280.1	720(21.25) [M–H] ^{2–}	865(14.06), 577(100), 720.6(12.68), 289(23.42)	1,2,3,4,5	Sivakumaran, 2006	
12	Epicatechin	26.80	279.8	289(100)		1,2,3,4,5	standard	
13	Procyanidin pentamer [*]	28.03	311.6	720(44.79) [M–H] ^{2–}	865(60.88), 720.7(19.34), 577(68.61), 289(36.03)	1,2,3,4,5	Sivakumaran, 2006	
14	Procyanidin pentamer*	28.60	280.6	720(100) [M–H] ^{2–}	865 (45.86), 720.6(65.87), 577(66.88), 289(29.80)	1,2,3,4,5	Sivakumaran, 2006	
15	Procyanidin trimer [*]	30.53	280.5	865(100)	577(3.10), 289(0.31)	1,2,3,4,5	Foo, 1996	
16	Procyanidin pentamer [*]	32.30	280.5	720(20.67) [M–H] ^{2–}	863(21.30), 720.6(9.32), 576(100), 289(44.54)	1,2,3,4,5	Sivakumaran, 2006	
17	Procyanidin pentamer*	32.84	280.5	720(13.75) [M–H] ^{2–}	865(7.86), 577(100), 720.6(21.08), 289(11.31)	1,2,3,4,5	Sivakumaran, 2006	

18	Procyanidin hexamer [*]	37.7	280.6	864(48.56) [M–H] ^{2–}	865(31.89), 289(7.65), 577(29.30)	1,2,3,4,5	Sivakumaran, 2006
19	Procyanidin trimer [*]	38.40	282.0	864(45.50)	577(100), 575(80.93), 289(29.48)	1,3,4,5	Foo, 1996
20	Procyanidin trimer*	39.53	279.0	865(58.01)	575(100), 289(21.79)	1,2,4,5	Foo, 1996
21	Procyanidin oligomer	41.03	281.0	unkown	960.3(13.01), 865(14.32), 864(39.46)	1234	
21 Flocyanium on	i iocyanidin oligonici	41.05	201.0	unkown	720(21.85), 575(30.9), 535(100), 287(13.3)	r,2,3,4	
$\gamma\gamma$	Progranidin oligomer	13.88	280.5	unkown	960.4(1.0), 865(9.89), 887(3.42), 579(100)	145	
22	i iocyanium ongoinei	43.00	280.5	ulikowii	289(0.91), 285(4.46), 271(2.48)	1,4,5	
23	Quercetin-3-O-rutinoside*	44.57	257,352	609(100)	463(10.75), 301(0.49), 300(2.54)	1,2,3,4,5	
24	Quercetin-3-O-hexose*	45.60	266,358	463(100)	301(5.34), 300(7.91)	1,2,3,4,5	

C. sinensis; 5, ^a RT, retention time on HPLC. ^b 1, *C. speciosa*; 2, *C. thibetica*; 3, *C. cathayensis*; 4, *C. sinensis*; 5, *C. japonica*. ^c None or peak area less than 0.3 mAU×min. ^{*} Tentative identification.



C. speciosa C. thibetica C. cathayensis C. sinensis C. japonica

C









Highlights
Polyphenols from five species in genus *Chaenomeles* were analyzed and compared.
20 flavanols (monomers and procyanidin oligomers) were separated by RP-HPLC. *C. speciosa* and *C. thibetica* exhibited equally strong antioxidant activities.
Total flavanol content is markedly correlated with antioxidant activity.
Procyanidin B3 was firstly detected in four *Chaenomeles* species.